

## CLINICAL TRIAL SUBMISSION FORM (FAEC) – Version 4



National Health Surveillance Agency  
Clinical Trial Submission Form (FAEC) – Version 4

Document Identification

(For use by the receiving agency) —

1	Name / Company name	2	CNPJ
3	Municipality/City	4	UF
		5	Country
6	Sponsor's name/business name	7	Sponsor's CNPJ, if applicable
8	Municipality/City of Sponsor	9	Requestor's email
		10	Sponsor Country
11	Name of the active pharmaceutical ingredient/active substance of the experimental medicine and/or product codes under development	12	Brand/Complement, if applicable
13	Presentation of the medicine (concentration/pharmaceutical form/primary and secondary packaging/quantity or volume per packaging)	14	Route of Administration
15	Storage conditions	16	Expiration date
17	Does this petition correspond to a specific dossier to be submitted after approval/authorization by the DDCM? <input type="checkbox"/> Não <input type="checkbox"/> Sim  If so, inform the file number of the most recent petition* referring to the Development Plan that contains information about this clinical trial  * For example, the initial submission of the DDCM itself, Compliance with requirements with updating the plan, Inclusion of a Clinical Trial Protocol not foreseen in the Initial Development Plan, Safety Update Report for the Development of the Experimental Medicine		
	Does this form refer to any changes to previously sent information? <input type="checkbox"/> Não <input type="checkbox"/> Sim  If so, the change refers to <input type="checkbox"/> Change in Medicines and Products to be imported to conduct the clinical trial <input type="checkbox"/> Inclusion of Clinical Trial Centers <input type="checkbox"/> Exclusion of Clinical Trial Centers <input type="checkbox"/> Change of Principal Investigator <input type="checkbox"/> Alteração de ORPC <input type="checkbox"/> Outras <input type="checkbox"/> Quais?		
18			

Information Related to the Investigational Drug													
19	<p>Is the investigational drug(s) identical to the authorized DDCM or already approved substantial modification?</p> <p><input type="checkbox"/> Not applicable</p> <p><input type="checkbox"/> Yes. File number(s): _____</p> <p><input type="checkbox"/> Não</p> <p><input type="checkbox"/> If not, is there any substantial quality change regarding the experimental medicine to be used in this protocol, which has not yet been completed by Copec? <input type="checkbox"/> Yes – File number: <input type="checkbox"/> No – Justification for using the experimental medicine other than what was previously approved _____ by Copec: _____</p>												
20	Therapeutic Class (ATC Code) / category												
21	Medicine approved in Brazil <input type="checkbox"/> Não <input type="checkbox"/> Sim <input type="checkbox"/> In positive case, registration number					22	Medicine approved in the world <input type="checkbox"/> Não <input type="checkbox"/> Sim <input type="checkbox"/> Countries where the medicine is approved						
23	Name of the active pharmaceutical ingredient or active substance					24	Brand/Complement, if applicable						
25	Pharmaceutical form					26	Route of Administration						
27	Presentation of the medicine (concentration/pharmaceutical form/primary and secondary packaging/quantity or volume per packaging)												
28	Storage conditions					29	Expiration date						
30	Form No	31	Pharmaceutical form	32	Compose before the formula	33	Code DCB/DCI/C AS RN	34	Tip O	35	Concentration Quantity e/ Volume	36	Demonstration Unit Formula

<b>Information Related to the Comparator Drug (Active or Placebo)</b>													
37	Therapeutic Class (ATC Code) / category												
38	Medicine approved in Brazil <input type="checkbox"/> Não <input type="checkbox"/> Sim In positive case, registration number:					39	Medicine approved in the world <input type="checkbox"/> Não <input type="checkbox"/> Sim						
40	Name of the active pharmaceutical ingredient or active substance					41	Brand/Complement, if applicable						
42	Pharmaceutical form					43	Route of Administration						
44	Storage conditions					45	Expiration date						
46	Presentation of the medicine (concentration/pharmaceutical form/primary and secondary packaging/quantity or volume per packaging)												
47	Form No	48	Pharmaceutical form	49	Formula components	50	Code DCB/DCI /CAS RN	51	Tip O	52	Concentration Quantity/Volume	53	Unity of Demonstration of Formula

<b>Clinical Trial Related Information</b>		
54	Clinical trial characteristics <input type="checkbox"/> Randomizado <input type="checkbox"/> Aberto <input type="checkbox"/> Simple Blind <input type="checkbox"/> Double Blind <input type="checkbox"/> Parallel groups <input type="checkbox"/> Cross groups <input type="checkbox"/> Outros:	55 Controlled Studies <input type="checkbox"/> Placebo <input type="checkbox"/> Active comparator <input type="checkbox"/> Outros:
56	All ICD-10 under investigation	
57	Countries where the proposed clinical trial is planned to be conducted	
58	Has the clinical trial already started in any country? <input type="checkbox"/> No <input type="checkbox"/> Yes If so, in which country(ies):	

59	Record number(s) in electronic clinical trial registration database		
60	Population under study <input type="checkbox"/> Under 12 years old <input type="checkbox"/> Over 65 years old <input type="checkbox"/> Índios <input type="checkbox"/> Women of childbearing age (exclusively) <input type="checkbox"/> Patients with special needs <input type="checkbox"/> Not applicable		
61	The study is: <input type="checkbox"/> Strictly National <input type="checkbox"/> Foreign Cooperation		
62	Is there exclusive use of placebo in the study? <input type="checkbox"/> No <input type="checkbox"/> Sim		
63	Clinical indication to be researched		
64	Treatment or therapy already available in Brazil for the indication being researched		
65	Was there a prior meeting with Anvisa about this DEEC? <input type="checkbox"/> No <input type="checkbox"/> Yes. Dates: _____ (Attach the meeting minutes to the process)		
66	Title of the Clinical Protocol	67	Clinical Protocol number/code
68	Clinical Protocol Phase <input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> Outra:	69	Version and Date of the Clinical Protocol

70. Medicines and Products to be imported to conduct the clinical trial				
Products with their respective presentations	Route of administration	Conditions of storage	Expiration date	Controlled
				<input type="checkbox"/> SIM <input type="checkbox"/> NÃO
				<input type="checkbox"/> SIM <input type="checkbox"/> NÃO
				<input type="checkbox"/> SIM <input type="checkbox"/> NÃO
				<input type="checkbox"/> SIM <input type="checkbox"/> NÃO

71. Information about all Clinical Trial Centers					
Test Center Number Clinical	Center Clinical trial	Unit Federative	CNES	Email from the institution's management	Number of Participants at the center
1					
2					
3					
4					

<b>72. Information about all respective principal investigators</b>					
<b>Number of Center Rehearsal Clinical</b>	<b>Investigator</b>	<b>CPF</b>	<b>Email from Investigator</b>	<b>Training Academic</b>	<b>On the Birth</b>
1					
2					
3					
4					

\* The information regarding researchers requested above must be filled in according to the corresponding number of the clinical trial center informed in the previous table, since the investigator is responsible for conducting the clinical trial at the center.

<b>73. Information about Clinical Research Representative Organizations (CRORs) participating in the clinical trial contracted for Brazil</b>	
<b>ORPC name</b>	<b>Activities delegated in the clinical trial</b>

Statement of responsibility

We assume civilly and criminally full responsibility for the information provided here (including the Description of the Formula Components and the Presentations described in this form, as well as the quality of the product(s) to be used in the clinical trial presented here).

\_\_\_\_\_  
 Legal Representative  
 (Signature and Stamp)

\_\_\_\_\_  
 Pharmacist responsible  
 (Signature and Stamp)